



## CITY OF INDIANAPOLIS – MARION COUNTY ECONOMIC STATEMENT OF INTEREST FORM

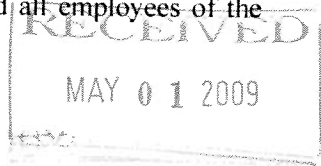
*Please review [www.indy.gov/ethics](http://www.indy.gov/ethics) if you have questions about this form.*

The City of Indianapolis/Marion County requires the following individuals to file this form with the Office of Corporation Counsel (OCC) between April 1<sup>st</sup> and May 1<sup>st</sup> each year:

- (1) Councillors and any declared candidate for City-County Council;
- (2) The Mayor, Assessor, Auditor, Clerk, Coroner, Recorder, Sheriff, Surveyor, Treasurer, and any declared candidate for those offices.
- (3) Appointees to city/county boards/commissions (except advisory bodies designated by OCC);
- (4) The director of any city/county agency/department and any employee who reports directly to the director and any employee who reports directly to an elected official listed in subpart (2);
- (5) Any employee whose employment is subject to the approval of the City-County Council; and,
- (6) Any employee designated by OCC as having "final purchasing authority" and all employees of the purchasing division of the Office of Finance and Management.

**Please Note:**

- If you fall into multiple categories above, you need only file one (1) form.
- In addition to the annual filing requirement, new appointees and new employees, declared candidates for elected office, and employees leaving city/county employment must also file this form, as required by Section 293-322. (For more information on this, see [www.indy.gov/ethics](http://www.indy.gov/ethics).)
- An individual required to file this form must file an amended form upon discovery of any additional information that is required to be reported.
- OCC reviews each form to ensure that it is complete, legible, and filed in a timely manner.
- Failure to file the form in a timely manner may subject you to sanctions by the Ethics Commission.



**ALL QUESTIONS MUST BE ANSWERED COMPLETELY & LEGIBLY**

1. Your Full Name: Gregory A. Ballard
2. Your Email Address: ----- Your Work Phone Number: (317) 327-3601
3. Your Employer's Name: City of Indianapolis
4. Your Employer's Address: 200 E. Washington St., Indianapolis, IN 46204
5. Nature of Your Employer's Business: Government
6. List any elected office(s) you hold OR check here ☐ if none: Mayor
7. List any city/county boards/commissions to which are you are appointed OR check here ☒ if none.  
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8. List the city/county department/agency that employs you and the positions you hold OR check here ☐ if you are not employed by the city/county.  
Consolidated City of Indianapolis and Marion County, Mayor  
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*Please Complete Reverse Side*

*Please attach extra sheets as necessary to fully respond to any question.*

9. Did you, your spouse, or your dependent have a financial interest in any contract, other than an employment contract, with any city/county agency/department during the past twelve (12) months?  
☐ YES ☒ NO

If YES, list the agency/department and contractor's name, and describe each such contract:

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10. State the name of any business entity from which you received compensation that to the best of your knowledge does (or intends to do) business with any city/county agency/department during your term of office, employment, or appointment with the city/county OR check here ☒ if none.

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11. State the name of any business entity in which you, or any member of your immediate family, owns stocks, bonds, or other investments which (i) constitute ownership of five (5) percent or more of that business or (ii) have a value in excess of five thousand dollars (\$5,000.00) and which business entity, to the best of your knowledge, is doing or intends to do business with an agency/department OR check here ☒ if none:

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12. Provide any additional information you would like to disclose on an attached sheet OR check here ☐ if there is no other additional information you would like to disclose.

13. *Councillors & Elected Officials Only*: Did you accept any entertainment, food, drink, honoraria, travel expenses, and registration fees from a person who has a business relationship with any city/county agency/department that (i) are not exempted by subparts (2) through (11) of Section 293-201(b) and (ii) are valued in excess of \$100? ☐ YES ☒ NO

If YES, list, on an attached sheet, from whom the item was received, the date the item was received, a short description of the item, and the value of the item.

- ☐ Check here if you have NOT received ethics training by the Office of Corporation Counsel (OCC) in the last two years. If you have not, OCC will contact you to schedule training.

**I AFFIRM UNDER THE PENALTIES OF PERJURY THAT MY STATEMENTS ARE TRUE  
AND ACCURATE TO THE BEST OF MY KNOWLEDGE**

Signature G. A. Baller

Date 1 MAY 09

**PLEASE SIGN, DATE, & RETURN THIS COMPLETED FORM TO THE**

**Office of Corporation Counsel  
1601 City County Building  
200 East Washington Street  
Indianapolis, Indiana 46204**

**CITY OF INDIANAPOLIS**  
**OFFICE OF THE MAYOR**

**ADDENDUM TO ECONOMIC STATEMENT OF INTEREST**  
**MAY 1, 2009**

As set forth in Section 293-323 of the Ethics Code, officials are required to list each gift having a value in excess of \$100.00 accepted from a person who has a business relationship with any city/county agency/department. I have not personally accepted such a gift.

Though I am advised that the following entities do not technically have a business relationship with the City of Indianapolis or Marion County, I believe it to be in the public interest to disclose the following specific gifts, which I believe have a value in excess of \$100.00 that I have accepted since filing my statement on May 1, 2008:

<i>Date</i>	<i>Gift</i>	<i>From</i>	<i>\$</i>
11/7/08	Two Leather Jackets	Rolls-Royce	\$500.00
11/9/08	Celine Dion Concert Tickets	Pacers	\$500.00

In addition to these gifts, I regularly receive mementos, such as T-Shirts and hats, from business, faith-based, and community organizations across the City, which I appreciate very much. I have been given a membership to the Columbia Club downtown and also the Highland and Woodstock clubs. Although I have yet to play golf as a member at Highland or Woodstock, I may play in the future.

Moreover, I make it a point to participate in as many activities as possible because it is important to lend official support to productive members of our community. Attendance at such functions is often necessary to further the City's economic development interests. Therefore, I regularly attend sporting events, including Colts, Indians, and Pacers games and the Indianapolis 500, as well as cultural events, such as Dance Kaleidoscope.

Tickets to events at properties managed by the Capital Improvement Board are often made available to the City. Additionally, the Pacers provide the City with four near court side tickets, and the Indians provide the City with four seats behind home plate. My staff and I routinely distribute suite tickets to employees and community groups so that more can enjoy those activities. From time to time, I use these tickets because I am an ardent supporter of our local teams.

Finally, this year, I attended the Super Bowl in support of the City's preparations for the 2012 Super Bowl in Indianapolis. This trip was funded by the Super Bowl Committee. My economic development trip to Japan was funded by the Greater Indianapolis Progress Committee (GIPC), with funds designated for economic development. Finally, I also attended the NCAA Final Four this year at personal expense, although the tickets were provided by the NCAA.